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| Substitute for form 1449B/PTO | | Complete if Known | | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | Application Number | 09/830,779 | | |
| | | Filing Date | 11/30/2001 | | |
| | | First Named Inventor | Kenneth Chien | | |
| | | Group Art Unit | 1645 | | |
| | | Examiner Name | Duffy, Patricia Ann | | |
| Sheet | 1 | of | 2 | Attorney Docket Number | 041673-1201 |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|--------------------------|----------------------|---|--|--|--|
| Examiner Initials* | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number | Kind Code ² (if known) | | | |
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| U.S. PATENT APPLICATION DOCUMENTS | | | | | | |
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| Examiner Initials* | Cite No. ¹ | U.S. Patent Application Document | | Name of Patentee or Applicant of Cited Document | Filing Date of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | |
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| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Documents | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | | Office ³ | Number ⁴ | Kind Code ⁵ (if known) | | | | |
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| NON PATENT LITERATURE DOCUMENTS | | | | |
|---------------------------------|-----------------------|--|--|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | | T ⁶ |
| PAO | A1 | HAJJAR et al., <i>Modulation of ventricular function through gene transfer in vivo</i> , Proc. Natl. Acad. Sci. USA, April 1998, pp. 5251-5256, Vol. 95 | | |
| PAO | A2 | INESI et al., <i>Cell-specific promoter in adenovirus vector for transgenic expression of SERCA1 ATPase in cardiac myocytes</i> , Am J Physiol Cell Physiol, March 1998, pp. C645-C653, Vol. 274, No. 3 | | |

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|-----------------------|-------------------|--------------------|---------|
| Examiner Signature | PATRICIA A. DUFFY | Date Considered | 2/21/04 |
|-----------------------|-------------------|--------------------|---------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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| Examiner Name | Duffy, Patricia Ann |
| Attorney Docket Number | 041673-1201 |

Sheet 2 of 2

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| Examiner Signature | DARWIN A-DURY | Date Considered | 2/26/04 |
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